



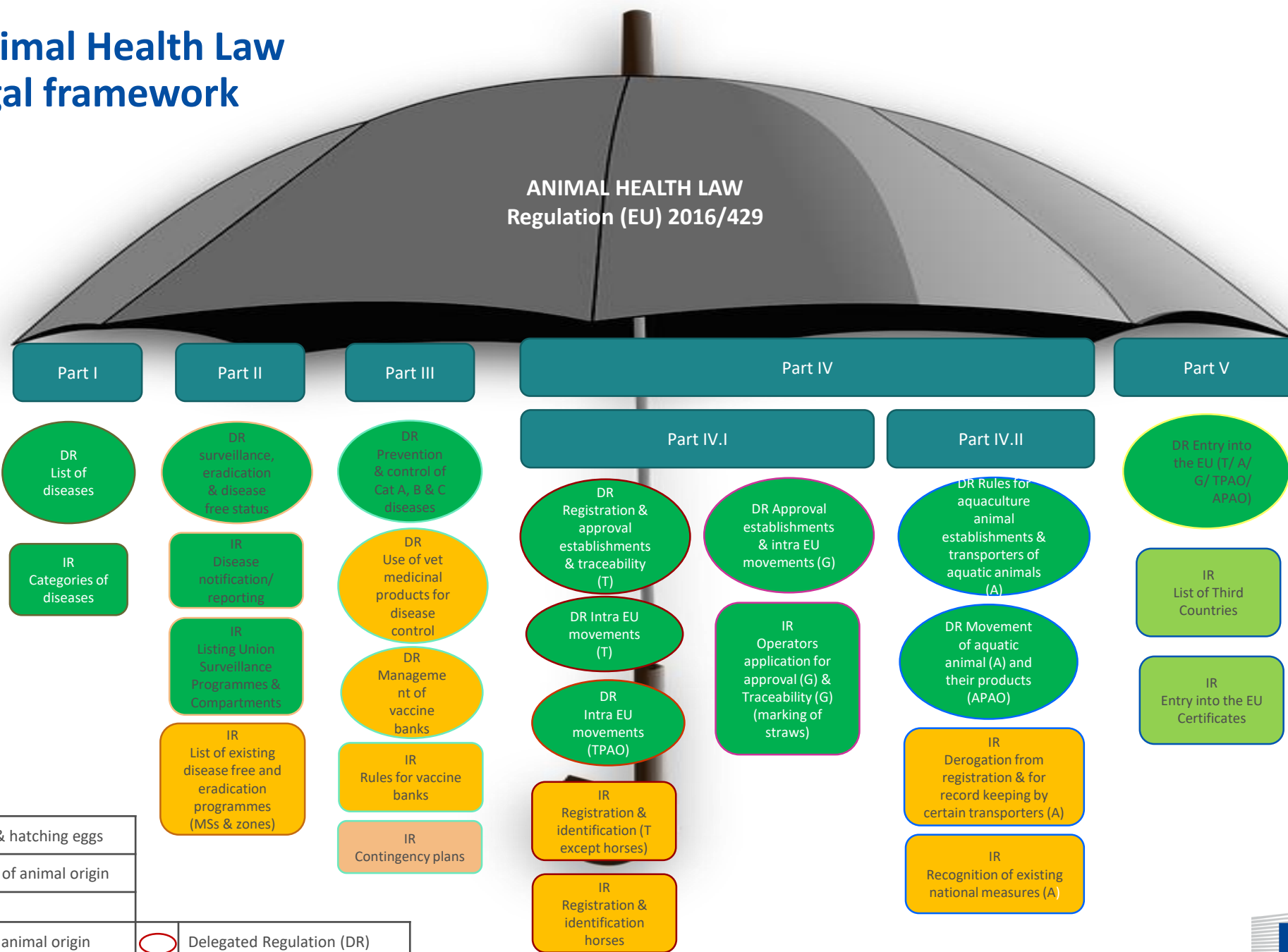
Traceability of equine animals

WHIRDEC 8 April 2021



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SANTE/G2

Animal Health Law legal framework



T	Terrestrial animals & hatching eggs
TPAO	Terrestrial products of animal origin
A	Aquatic animals
APAO	Aquatic products of animal origin
G	Germinal products

	Delegated Regulation (DR)
	Implementing Regulation (IR)

IR intra EU animal health Certificates (T/ A/ G/ TPAO/ APAO)

Legal bases



Other legal references

- Regulation (EU) 2017/625
 - Delegated bodies
- Regulation (EC) No 853/2004
 - food chain information, removal of transponder as foreign body
- Directive 96/22/EC
 - exclusion of imported horses from slaughter, if country of origin has no residue plan
 - documentation of zootechnical use of certain medicinal products
- Directive 90/428/EEC
 - competitions reserved for equidae registered in a specific studbook for the purpose of permitting the improvement of the breed;
- Regulation (EC) No 1069/2009
 - cremation of dead equines (Chapter III of Annex III to Regulation 142/2011)
- Regulation (EU) No 952/2013
 - customs procedures for release for free circulation and temporary admission

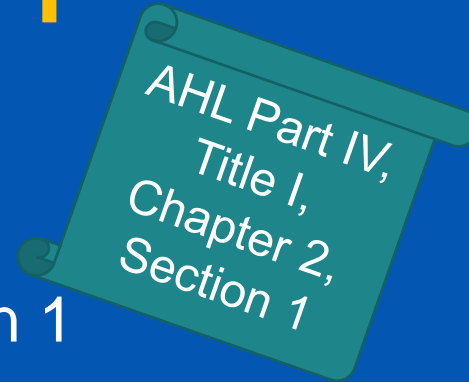
Introductory considerations

- The Official Controls Regulation affects AHL and VMP, but not the ABR
 - the level of delegation in accordance with Article 108(5)(c) AHL by competent (veterinary) authorities is unknown
 - „Delegated bodies“ designated by competent authorities are not the same as „third parties“ to which breed societies have outsourced certain activities
- The mandates to adopt implementing acts under the VMP-Regulation and the ABR are limited to the formats of Section II and V of the SLID
- All rules laid down in the draft on SLID come from Article 120 of the AHL and Article 30 of ABR
- The terminus registered equine animals
 - was re-introduced to be used for
 - to apply animal welfare rules designed for this category
 - imports into the Union
 - is limited to purebred equine animals entered or eligible for entry in the main section and this excludes equines in supplementary sections

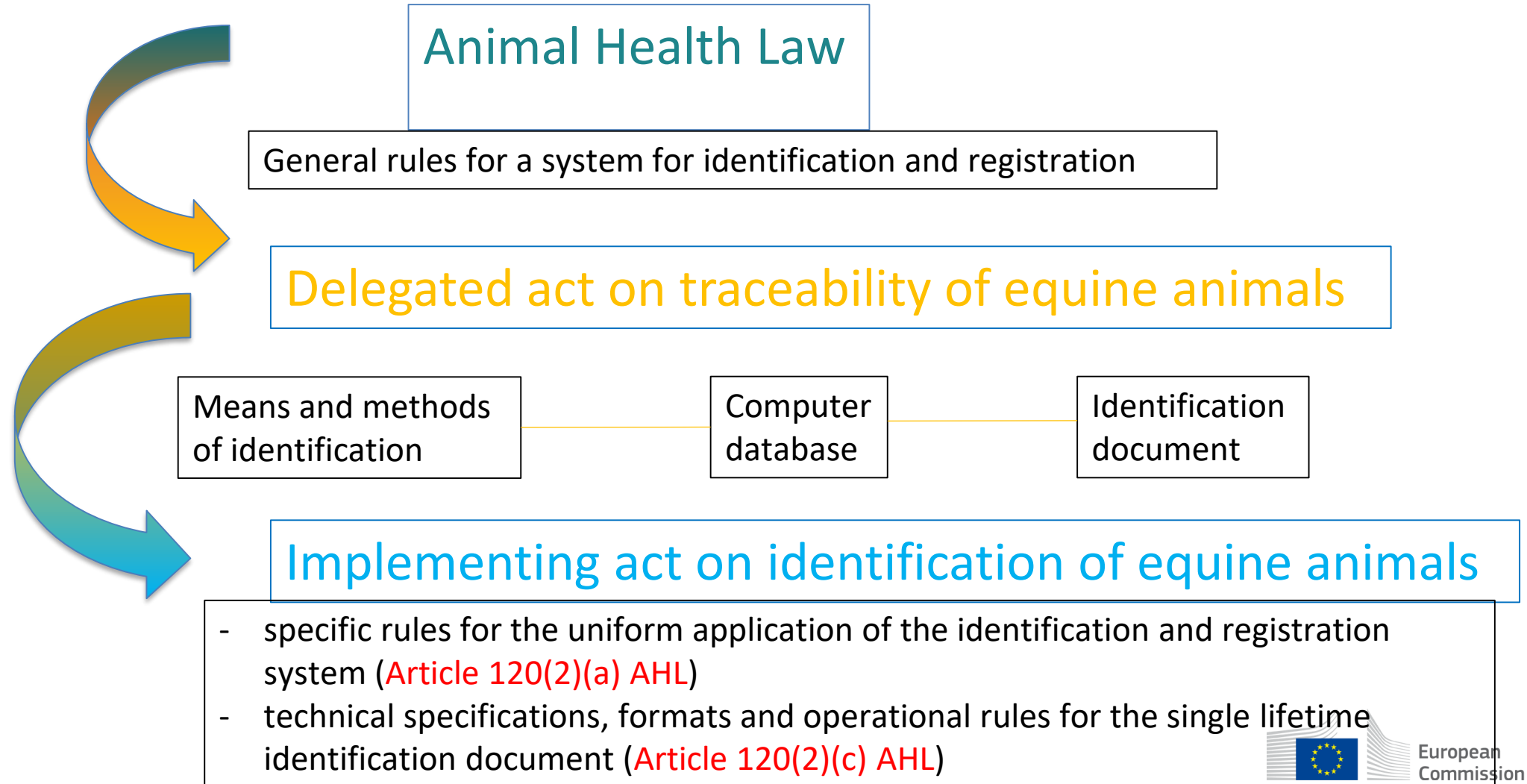


Traceability of equine animals

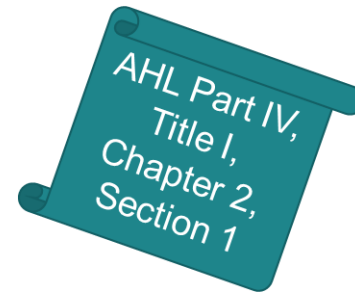
- ✓ AHL Part IV, Title I, Chapter 2, Section 1
- ✓ Commission Delegated Regulation (EU) 2019/2035:
 - Part III, Title IV
- ✓ Commission Implementing Regulation on identification of equine animals (SANTE/7004/2019)



Interaction of different acts



Member States responsibility



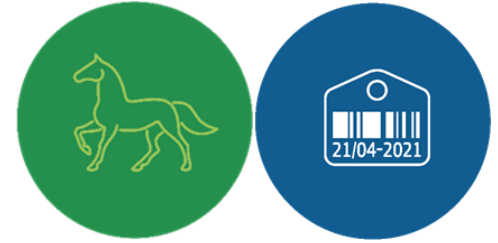
- Establish a system for the identification and registration with the following elements:
 - Identification of kept terrestrial animals (individually or in groups)
 - Documents (identification documents, movement documents, ...)
 - Records in the establishment
 - Computer database (kept bovine, ovine, caprine, porcine and equine animals)
- The system shall:
 - Ensure disease prevention and control measures
 - Facilitate the traceability of animals and their movements
 - Ensure interoperability and integration with other computerised systems (TRACES, ...)

1: Identification of equine animals (I)



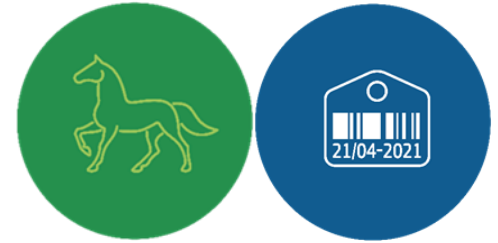
- Obligation for operators to ensure that equine animals are individually identified by
 - (a) a unique code
 - (b) a physical means of identification or other method which unequivocally links animal with identification document
 - (c) a single lifetime identification document
- Detailed requirements for the means and methods of identification
 - an injectable transponder, or
 - a conventional or electronic ear tag, or conventional or electronic pastern band, or
 - an alternative method authorised by the competent authority
- Derogations for the identification of kept equine animals living under semi-wild conditions

1: Identification of equine animals (II)



- Assignment of a code to the computer database – Article 5
- Recording identification details in computer database – Article 6
 - Unique code (UELN compatible)

1: Identification of equine animals (II)



- Technical specifications for the transponders, ear tags and pastern bands – Articles 10



- Time periods for the application of the means and methods of identification – Article 11
- Measures to detect previous identification of equine animals – Article 12
- Rules for application of means of identification, removal, modification or replacement – Article 13, 14, 15
- Alternative methods – Article 16

2: Single lifetime identification document (I)



- Obligation for a competent authority to issue identification documents for equine animals
- Possibility for a Member State to designate another authority or authorise another body or a natural person to ensure the practical application of the identification and registration system, including the issuing of identification documents

2: Single lifetime identification document (II)



- Obligation for operators to ensure that each animal is individually identified by a single lifetime identification document and accompanied by it when moved
- Derogation for equine animals for slaughter
- Temporary identification document
- Content of a single lifetime identification document
- Rules on duplicate, replacement and new identification documents

2: Single lifetime identification document (III)



- Minimum requirements as regards the format, design and content of
 - the **standard** identification document – Article 17(1)(a)
 - the **extended** identification document – Article 17(1)(b)
- Technical specification of SLID – Article 18
- Recording of the transponder code in the identification document – Article 19
- Plastic and smart cards, smartphone applications – Article 20

2: Single lifetime identification document (IV)



- Operational rules for the single lifetime identification document – Section 2 of Chapter IV
 - Time period (12 months after birth and before leaving establishment of birth
 - Issuing and delivery of SLID – Article 22
- Management of the identification documents by operators and issuing authorities – Articles 37 and 38
- Temporary document – Article 24
- Duplicate and replacement document - Articles 25 and 26
- SLID after slaughter, killing, death or loss – Article 27 and 28
- Responsibility of the issuing authority to provide a validation mark and a license – Articles 40 and 41 and instruction to enter the validation mark and license in the identification document – Section III of Part 1 of Annex I

2: Single lifetime identification document (V)



- Equine animals under semi-wild conditions - Article 31
- Responsibility of the issuing authority to provide a validation mark and a license – Articles 32 and 33 (Section III of Part 1 of Annex II)
- Information on owner – Article 34
- Information on vaccinations and testing – Article 35
- Identification of equine animals entering the Union – Articles 36 and 37



Regulation on Veterinary Medicinal Products

- ✓ Regulation (EU) 2019/6 – Article 109(2)
- ✓ Commission Delegated Regulation (SANTE/7002/2019)
 - content and format of the information necessary to apply Articles 112(4) and 115(5) of Regulation (EU) 2019/6
- ✓ Commission Implementing Regulation on traceability of equine animals (SANTE/7004/2019)
 - model forms to record administration of veterinary medicinal products (**Section II** of single lifetime identification document)

2: Single lifetime identification document (VI)



- Exclusion from slaughter for human consumption
 - Veterinarian responsible - treatment in accordance with Article 112(4) of VMP Regulation
 - Competent authority - various reasons including imports from a third country without approved residue monitoring plan
- Delay of slaughter
 - Veterinarian responsible - treatment in accordance with Article 115(5) of VMP Regulation
 - Competent authority - under certain conditions when issuing duplicate document
 - various reasons including imports from a third country without approved residue monitoring plan
- Ad-hoc identification - Article 42
- Use of medication record for 96/22/EC

Animal Breeding Regulation



- ✓ Regulation (EU) 2016/1012 – Article 32(2)
- ✓ Commission Delegated Regulation (EU) 2017/1940
 - format and content of zootechnical certificate for purebred breeding animals of the equine species
- ✓ Commission Implementing Regulation on traceability of equine animals (SANTE/7004/2019)
 - model forms for the zootechnical certificate (**Section V** of single lifetime identification document)

2: Single lifetime identification document (VII)



- Rules for zootechnical certificate as integral part of SLID - Article 45
- Non-statutory section for equine animals in supplementary section

3: Records in the establishment

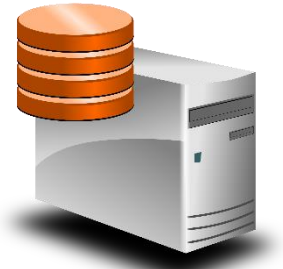


- General record-keeping obligations for operators keeping terrestrial animals

(species, categories, numbers and identification of animals kept, movements of animals into and out of, documents, mortality, biosecurity measures, surveillance, test results and the results of animal health visits)

- Detailed rules for record-keeping
 - only the general rules of AHL apply, no additional requirements
 - Article 24 of 2019/2035 - unique code, date of birth on the establishment, date of natural death, loss or slaughter on the establishment

4: Computer database



- Member States' obligation to establish computer databases for kept animals of the equine species
- General requirements for the information to be stored
- Rules for information in computer database of kept equine animals
- Computer database – Chapter II:
 - uniform access to data contained in the computer database – Article 7
 - technical specifications and operational rules
 - deadlines, obligations and procedures for the transmission of information by operators or other natural or legal persons and for the registration of kept terrestrial animals in the computer databases – Article 9 (7 days after recording as habitually resident)

5. Use of Identification document for other purposes

- Member States may use the whole or a part of the system for identification and registration for purposes **other** than disease control and traceability (Article 108(5)(a))
- information necessary for the use of the single lifetime identification document for sporting purposes in accordance with the requirements of the relevant organisations managing horses for competition or races, including information on tests for and vaccinations against listed or not-listed diseases as required for access to competitions and races and to obtain the licence referred to in paragraph 1(i)(ii). (Article 65(2(d)))
- Rules for the movements carried out in accordance with Article 92(2) of Delegated Regulation (EU) 2020/688 (Chapter VI)
- Rules for the use of the identification document for sporting purposes and for the international movement of competition horses (Chapter VII)

Date of application

- Implementing Regulation will not be adopted before 21.4.2021
- Translation is ongoing
- DA on VMP not yet published
- Last Committee to deliver an opinion is on 5 May 2021
- Voting by written procedure after 5 May 2021
- Estimated date of application 1 July 2021
- Annex II only applicable 28.1.2022

Thank you



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